Photoinduced [2+2] cycloadditions (the Paterno–Büchi reaction) of 1-acetylisatin with enol ethers—regioselectivity, diastereoselectivity and acid catalysed transformations of the spirooxetane products †

PERKIN

Yan Zhang," Jie Xue," Yi Gao," Hoong-Kun Fun^b and Jian-Hua Xu*"

 ^a Department of Chemistry, Nanjing University, Nanjing 210093, P. R. China. E-mail: xujh@nju.edu.cn
 ^b X-Ray Crystallography Unit, School of Physics, Universiti Sains Malaysia, 11800, USM

Received (in Cambridge, UK) 25th October 2001, Accepted 17th December 2001 First published as an Advance Article on the web 14th January 2002

Photoinduced [2+2] cycloadditions (the Paterno–Büchi reaction) of 1-acetylisatin 1 with cyclic enol ethers (furan 2, benzofuran 3, 2-phenylbenzofuran 4, 8-methoxypsoralen 5) and acyclic enol ethers (*n*-butyl vinyl ether 6 and vinyl acetate 7) afford the spiro(3*H*-indole-3,2'-oxetane)s 8–21 in benzene in high total yield (82–96%) and with high regio- and diastereoselectivity *via* the π^* triplet state of 1 without single electron transfer (SET) involvement. The cycloaddition regioselectivity is determined by the formation of the most stable 1,4-diradical intermediate. As a result, head-to-head products with an acetal structure are formed for the cyclic 1,3-dienes 2–5, while for the acyclic monoalkenes 6 and 7, head-to-tail products are preferentially formed. The diastereoselectivity, which favors the thermodynamically less stable *syn*-spirooxetanes for all the alkenes 2–7, can be rationalized by considering the sterically more favorable 1,4-diradical conformers suitable for efficient intersystem crossing (ISC) and C–C bond formation according to the Salem–Rowland rules. Acid treatment of the oxetanes derived from furan and benzofuran results in oxetane ring opening and provides a convenient and high yielding access to the 3-(furan-3-yl)indole derivatives.

Introduction

Recent research interest in the photoinduced [2+2] cycloadditions between carbonyl compounds and alkenes (the Paterno-Büchi reaction^{1,2}) concentrates on the different factors that influence the regio- and stereo-selectivity, and the exploitation of the synthetic applications, taking advantage of the propensity of the oxetane products to undergo ring opening in different fashions depending on reaction conditions to furnish products with delicate structures.^{2d,3,4,5} Alkenes with their C=C bond linked to a heteroatom such as enol ethers, 3a,6-9 silyl enol ethers^{5b,5c,10} and enamines^{5a,11} are known to be good substrates in the Paterno-Büchi reaction. In particular, the regioselectivity and diastereoselectivity in the photocycloadditions of aldehydes and ketones with cyclic enol ethers and enamines such as furan, dihydrofuran^{3a,6-9} and dihydropyrroles ^{5a,11a-c} have been scrutinised closely. Synthetic applications have been made of these cycloadditions, especially in the synthesis of natural products or of new compounds with possible biological activities .^{3,4,5} However, Paterno-Büchi reactions of cvclic α -diketones with enol ethers have rarely been reported.¹² Also, although there have been several reports on the Paterno-Büchi reactions of carbonyl compounds with acyclic alkoxyethenes¹³ and acyloxyethenes,¹⁴ the diastereoselectivity in these reactions has scarcely been reported. $^{13e,13i,14b,14c}\ddagger$

Isatin (1*H*-indole-2,3-dione) is a heterocyclic α -diketone which has a wide range of biological activities¹⁵ and serves as

indole derivatives and natural products.¹⁶ However, the photochemistry of isatin and its role in the elaboration of the indole structure has not received much attention.¹⁷ We have recently reported the Paterno–Büchi reactions of 1-acetylisatin with styrene derivatives and the regio- and diastereoselectivity in these photocycloadditions.¹⁷ We now report photocycloadditions of 1-acetylisatin 1 with the acyclic and cyclic enol ethers 2–7. These reactions are found to give the corresponding spiro(3*H*-indole-3,2'-oxetane)s in high yield and with high regio- and diastereoselectivity. Factors that influence the regio- and diastereoselectivity are discussed in relation to the reaction mechanisms. We have also investigated the acid catalysed ring opening reactions of the spirooxetanes derived from furan and benzofuran and found that these reactions give the 3-(furan-3-yl)indole and 3-(benzofuran-3-yl)indole derivatives in high yield.

an important precursor for the synthesis of biologically active



Results

1 Photoinduced cycloadditions of 1-acetylisatin (1) with alkenes 2–7

Irradiation of a benzene solution of 1 and furan (2) with light of $\lambda > 400$ nm where 1 is the only absorbing species gave two 1 : 1 cycloadducts 8 (48%) and 9 (6%) together with a 2 : 1 adduct 10 (30%) after chromatographic separation of the

[†] Electronic supplementary information (ESI) available: NMR spectra and ORTEP diagrams. Crystallographic data for compounds 10, 17 and 23 are included in the ESI. CCDC numbers 175732, 175733 and 175736. See http://www.rsc.org/suppdata/p1/b1/b109697d/

[‡] Diastereoselectivity in the Paterno–Büchi reaction of carbonyl compounds with acyclic silyl enol ethers has been intensively investigated by Bach and his coworkers.^{5b,5c,10}

reaction mixture. The ratio of **8–9** is 8 : 1. Since all the $\Delta\delta$ values for the corresponding protons in 8 and 9 are smaller than 0.12 ppm, and the signals of the minor isomer are partly submerged in that of the major isomer, only a rough estimation of product ratio could be made by comparing the integration of the corresponding signals of the two compounds in the ¹H NMR spectrum of the crude product mixture, which is essentially the same as that found in the chromatographic separation. The ¹H NMR of the crude 2 : 1 product **10** reveals the presence of at least five minor stereoisomers of 10, with yields ranging from 5-10% of that of 10. These stereoisomers could not be separated completely. Products 8 and 9 are diastereomers with an acetal structure. In the ¹H NMR spectrum, H(2'a) and H(5'a) in the oxetane ring resonate at 4.1 and 6.8 ppm respectively with a large $\Delta \delta$ value of 2.7 ppm. The assignment of the absorptions of the four protons in the dihydrofuran ring and the measurement of the coupling constants between them, including two 'W' couplings through four bonds, were made by H-H COSY and decoupling experiments (see Experimental section). There is no significant difference in the ¹H NMR spectra of 8 and 9 and therefore for their steric configurations to be unambiguously assigned, an X-ray crystallographic analysis of 8 was carried out which indicates that it is the syn(endo)- isomer (syn- refers here to the syn- relationship between the dihydrofuran ring and the isatin benzene ring) (Fig. 1). In the 2 : 1 adduct 10, the two isatins are added to the



two C=C bonds in furan with different regioselectivity so that the two isatin carbonyl oxygen atoms are head-to-head and head-to-tail to the furan oxygen atom respectively. The two isatin benzene rings are all *syn* with the tetrahydrofuran ring, and the two oxetane rings at the two sides of the furan ring are *trans* to each other.



Similar irradiation of a benzene solution of 1 with benzofuran gave the *syn*-(11, 76%) and the *anti*-(12, 6.5%) spirooxetanes as products after chromatographic separation. The 9–10 ratio is 12 : 1, in agreement with that found in the ¹H NMR spectrum of the crude reaction mixture. No signals ascribable to other regioisomeric cycloadducts were found in the reaction mixture's spectrum. In the ¹H NMR spectra of 11 and 12, H(2'a) and H(7'a) at the oxetane ring absorb at ~4.7 and ~7

ppm respectively with a $\Delta\delta$ value of 2.3 ppm, indicating their acetal structure. There are two main differences in the ¹H NMR spectrum of **11** and **12**. 1. The acetyl methyl protons in the *syn*-isomer **11** absorb at 2.76 ppm, while in the *anti*-isomer **12**, this absorption is shifted upfield to 2.49 ppm due to the shielding effect of the benzofuran benzene ring. 2. H⁴ in the *syn*-isomer is shielded by the benzofuran benzene ring and resonates at a much higher field (δ 6.28) than ⁴H in the *anti*-isomer **12** which absorbs at δ 7.



Photocycloadditions of 1 with 4 under similar conditions furnished 13(72%), 14(10%), and 15(13%). Compounds 13 and 14 are diastereomers with an acetal structure. Again, the acetyl methyl protons in the *syn*-isomer¹⁸ absorb at a lower field (δ 2.72 ppm) than in the *anti*-isomer (δ 2.49 ppm). Also, H⁴ in *syn*-13 resonates at a much higher field at δ 6.47 ppm than the H⁴ in *anti*-14 which absorbs at $\delta > 7$. The *syn*-spirooxetane 15 is regioisomeric to 13 and 14. The methine proton in the oxetane ring absorbs at δ 7 ppm, and the acetyl methyl proton absorption is at δ 2.73.

Photoinduced [2 +2] cycloadditions of 8-methoxypsoralen (8-methoxy-7H-furo[3,2-g][1]benzopyran-7-one) (5) with alkenes have been widely investigated as a model process to simulate the photochemotherapeutic action of psoralen. It was found that photocycloadditions usually take place at the pyran ring to yield the corresponding cyclobutanes.¹⁹ We have previously shown²⁰ that under photoinduced electron transfer (PET) conditions, the reaction site in 5 is at the furan ring. Recently, photocycloadditions of 5 with electron acceptor alkenes (such as maleimide) at the furan ring have also been reported.^{19d} However, photoinduced cycloadditions of carbonyl compounds with psoralens to give oxetanes have not been investigated. We therefore investigated the photocycloadditions of 1 with 5. It was found that cycloaddition takes place exclusively at the furan C=C bond to gave the syn-spirooxetane 16 as the sole isolated product in 95% yield. The ¹H NMR spectrum of the crude reaction mixture reveals the presence of the anti-isomer as a minor product in a ratio of anti-syn \sim 3 : 100. In the ¹H NMR spectrum, H(9'a) and H(2'a) resonate at δ 7 and δ 4.69 ppm respectively, indicating that 16 has an acetal structure.

Photolysis of a benzene solution of 1 and *n*-butyl vinyl ether gave the *syn*-spirooxetane 17 in 95% yield after chromatographic separation. The ¹H NMR spectrum of the crude reaction mixture after photolysis reveals the presence of the *anti*-isomer in a *anti*-*syn* ratio of ~5 : 100.

Photoinduced reaction of 1 with vinyl acetate 7 in benzene gives four cycloadducts 18, 19, 20 and 21 in a total yield of 94% after chromatographic separation. The ¹H NMR spectrum of the crude products shows that the ratio of (18 + 19)–(20 + 21) is 1.72 : 1, and the diastereoisomeric ratio (18–19) is 11 : 1

Table 1 Photoinduced reactions of 1 with enol ethers 2-7

Alkene	Solvent	Products and yield (%)	syn–anti
2	PhH	8 (48), 9 (6), 10 (30)	8 : 1 ^{<i>a</i>}
3	PhH	11 (76), 12 (6.5)	$12:1^{a}$
4	PhH	13 (72), 14 (10), 15 (13)	$7.2:1^{a}$
5	PhH	16 (95)	$\sim 100:3^{b}$
6	PhH	17 (95)	$\sim 100:5^{b}$
7	PhH	18 (55), 19 (5), 20 (32), 21 (2.5)	11 : 1(18–19), 13 : 1 (20–21) ^{<i>b</i>}

^{*a*} syn-anti ratio obtained by chromatographic separation of the reaction mixture after photolysis and by ¹H NMR analysis of the reaction mixture. ^{*b*} syn-anti ratio obtained by ¹H NMR analysis of the reaction mixture after photolysis.



(Table 1). The *syn*-configuration of **18** is indicated by an X-ray crystallographic analysis (Fig. 2). Diastereomers **20** and **21** are formed in a ratio of 13 : 1, also in favor of the *syn*-isomer. In these two 2-alkoxyoxetanes, the absorption of the two methylene protons in the oxetane ring moves upfield to $\delta 2.7-3.5$ ppm from $\sim \delta 5$ ppm in **18** and **19**, while the methine proton absorption moves downfield by more than 1 ppm from that in **18** and **19** to ~ 6.75 ppm.



Solvent effects on these photoinduced reactions have been tested. In contrast to the smooth reactions in benzene between 1 and the olefins 2-7 yielding the corresponding spirooxetane products, photolysis in acetonitrile resulted in sluggish reactions for all the alkenes except 6. Preparative photolyses were not carried out for these alkenes since only low conversions were achieved after lengthy irradiation, and unambiguous identification of products and estimation of their ratios by inspection of the ¹H NMR spectra of the crude reaction mix-

ture were impeded by the low conversion and the presence of components derived from such side reactions as alkene cation radical polymerization *etc*. In the case of *n*-butyl vinyl ether, although the reaction is still much slower than in benzene, it proceeded to high conversion. The ¹H NMR spectrum of the reaction mixture showed that *syn*-17 is the main product while the *anti*-isomer was formed in a *syn*-anti ratio of ~10 : 1. No absorptions for other regioisomers were found in the spectrum. In a preparative photolysis, a significant amount of highly polar tarry materials was also formed in the reaction.

2 Chemical transformations of the spirooxetane products

It is seen that photocycloadditions of 1 with the enol ethers 2–5 furnish the 2-alkoxyoxetanes regioselectively. With the aim of exploring synthetic applications of these reactions for indole structure elaboration, we have examined the acid catalysed ring opening reactions of the spirooxetanes derived from furan and benzofuran. Purging dry hydrogen chloride at room temperature into a methanol solution of the spirooxetane 11 gave two oxetane ring cleavage products 22 (41%) and 23 (52%). On the other hand, passing dry hydrogen chloride into a benzene solution at room temperature gave the 3-(benzofuran-3yl)indol-2-one 24 (36%) and two of its hydrochlorinated products 25 (28%) and 26 (23%) as a pair of diastereomers. Acid catalysed ring opening of 11 at room temperature in THF also gave 24 (43%) and 25 (14%), together with a solvent incorporated product 27 (33%), which is possibly derived from trapping of the carbocation A by THF followed by hydrolysis of the oxonium salt by the adventitious water in the solvent. Purging dry hydrogen chloride into a THF solution of the spirooxetane 8 at room temperature gave the 3-(furan-3yl)indole derivative 28 in 91% yield.

Discussion

Prediction and rationalization of the regioselectivity and diastereoselectivity in view of the reaction mechanism of the cycloadditions have been the main concerns in the studies of the Paterno-Büchi reaction. Our results show that, photoinduced cycloadditions of 1 with the alkenes 2–7 from the n- π^* triplet state (T_1) of the isatin take place at the C(3) carbonyl of 1 selectively and furnish the corresponding spiro(3H-indole-3,2'-oxetane)s with high regio- and diastereoselectivity. Recent advances in the field of photoinduced electron transfer (PET) reactions have had great impact on our understanding of the mechanism²¹⁻²⁴ and the factors that influence the regio-^{13f,13h,21} and diastereoselectivity^{6-8,17} in the Paterno-Büchi reaction. Photoinduced cycloadditions of triplet $n\pi^*$ carbonyl compounds with electron rich alkenes are initiated by the orbital interaction between the low lying half occupied n orbital of the carbonyl compound and the filled π orbital (HOMO) of the alkene.²⁵ An electron (charge) transfer interaction between the two addends is therefore involved, and a high lying alkene π orbital with energy higher than or close to the carbonyl singly occupied n orbital can be seen as a prerequisite for these reactions. In accord with this, we have found that 1 cannot take part in photocycloadditions with electron deficient alkenes



such as acrylonitrile (AN), methyl acrylate (MA), and N-vinylsuccinimide, all of which have a π orbital with energy much lower than the n orbital of 1.²⁶ Alkenes 2–6 have HOMOs much higher than the n orbital of $1.^{27}$ The HOMO energy of 7 is -10.06 eV,²⁸ a little lower than the n orbital of 1, but it is still much higher than the HOMO in MA and AN. However, this charge transfer character in the frontier molecular orbital (FMO) interaction responsible for the cycloaddition of triplet $n\pi^*$ carbonyl with electron-rich alkenes does not necessarily lead to the SET process, and in many cases, full electron transfer is not involved. In this regard, the empirical Weller equation²⁹ could be used to assess the possibility of the SET involvement in the reaction. A negative $\Delta G_{\rm ET}$ for electron transfer suggests that SET is energetically feasible, and vice versa. It has been shown that in cycloadditions with and without SET involvement regio- and diastereoselectivity can be determined by different factors.^{6,13,13h}

1 Regioselectivity in the cycloadditions

In the Paterno–Büchi reactions without SET involvement, the regioselectivity can be predicted from the most stable 1,4diradical intermediate 13a,13e,30 and by considering the FMO interactions between the two addends.³¹ The oxidation potential and the estimated $\Delta G_{\rm ET}$ value from the Weller equation in PhH and in MeCN between ³1* and the alkenes are listed in Table 2. All the alkenes 2–7 have positive $\Delta G_{\rm ET}$ with ³1* in PhH, suggesting that SET is unfavorable in this solvent. In the reaction of ³1* with furan, benzofuran and psoralen, the products formed (8, 9, 11, 12 and 16) are cyclic acetals. This regioselectivity is similar to that found in photocycloadditions of furan with other carbonyl addends (aldehydes and ketones, $^{3a,6-9}$) and can be rationalized by the fact that in the 1,4-diradical inter-

Table 2 $\Delta G_{\rm ET}$ for SET between ¹1* and alkenes

		$\Delta G_{ m ET}{}^a$		
Alkene	E ^{ox} (SCE, MeCN)	In PhH	In MeCN	
2	1.85 32	4.7	-5.4	
3	1.8233	4.0	-6.1	
5	1.67 34	0.6	-9.6	
6	1.8035	3.6	-6.5	
7	2.51 35	19.9	9.8	

mediates, an allylic radical as in **B** is ~7 kcal mol⁻¹ more stable than an α -oxymethylene radical as in **C**.³⁶ The 2 : 1 adduct **11** formed in a secondary reaction by the addition of ³1* with the primary adduct **9** has a head-to-tail structure since an α -oxymethylene radical is ~12 kcal mol⁻¹ more stable than a methylene radical.³⁶ In the photocycloaddition of **1** with 2-phenylbenzofuran, a head-to-tail regioisomer **15** is obtained in 13% yield. Obviously, in the 1,4-diradical leading to **15** (**D**), the phenyl at C(2) in the furan ring renders additional stability to the α -alkoxymethine radical although steric hindrance to the subsequent ring closure is greater here.



Reaction of ${}^{3}1^{*}$ with vinyl butyl ether 6 gave the head-to-tail adduct 17 exclusively in benzene. This is in contrast with the previously reported photocycloadditions of aldehydes and aliphatic ketones with acyclic vinyl ethers $^{13a-f}$ where two regioisomers are formed in comparable yield. It seems that while in the reaction of ${}^{3}1^{*}$ with 6 in benzene, the formation of the most stable 1,4-diradical determines the regioselectivity, in the reactions of aldehydes and aliphatic ketones with vinyl ethers,^{13a-f} charge transfer interaction plays a more important role, and preorientation of the two addends in the exciplex intermediate is responsible for the low regioselectivity since a charge density calculation has shown that in the vinyl alkyl ether cation radical, the two vinyl carbon atoms have comparable positive charge density.^{13f} Similar to 6, in the reactions of ³1* with vinyl acetate, the head-to-tail cycloadducts 18 and 19 are formed in preference to the head-to-head products 20 and 21 with a (18 + 19) - (20 + 21) ratio of 1.72 : 1.

2 Diastereoselectivity in the cycloadditions of 1 with 2–7

The mechanistic and structural factors that decide the diastereoselectivity in the Paterno-Büchi reaction have not been as extensively studied as for regioselectivity. However, recent studies have shown that the possibility of SET involvement is also an important factor in the rationalization of the diastereoselectivity.^{6,17} In cases where SET is not involved, Griesbeck and coworkers have recently suggested the application of the Salem-Rowland rules³⁷ on diradical intersystem crossing (ISC) by the spin-orbit coupling (SOC) mechanism to the preoxetane 1,4-diradical intermediate in the Paterno-Büchi reaction to rationalize the cycloaddition diastereoselectivity.6,38 These considerations based on the conformational influence on ISC rate have been successfully applied to rationalize the endo-selectivity in the photocycloadditions of aldehyde and ketones with cyclic monoalkenes.^{6,7a} We also found that, the preferential syn-(endo)selectivity in the cycloadditions of the triplet 1-acetylisatin (1) with styrene derivatives could be rationalized by this model.¹⁷ A recent high level *ab initio* calculation on the conformational dependence of SOC and singlet–triplet separation in the Paterno–Büchi diradical intermediate provided computational support for this model.³⁹

To account for the *exo*-selectivity in the photocycloadditions of aldehyde and ketones with furan, Griesbeck and coworkers further postulated that ^{6c} secondary orbital interactions in the diradical intermediate between the exocyclic radical and the allyl radical play an important role in determining the relative contribution of the diradical conformers with the two spinbearing p orbitals orthogonal to each other. This idea has also been used to account for the diastereoselectivity in the cycloaddition of carbonyl compounds with 2-siloxyfuran.⁸

Diastereoselectivity in the Paterno–Büchi reactions of carbonyl compounds with acyclic enol ethers such as vinyl alkyl ether 13d,13i and vinyl acetate 14c have scarcely been reported. Also, diastereoselectivity in the reactions of excited cyclic α -diketo-compounds with furan derivatives have not been investigated. We have shown that, although the quantitative diastereomeric ratios are different, depending on the structures of the alkenes, photocycloadditions of 1 with all the enol ethers 2–7 gave the thermodynamically less stable *syn*-spirooxetanes with high selectivity.

The *syn-anti*- ratio is 8:1 in the reaction of ${}^{3}1^{*}$ with furan. In this case, four conformations which have the two spin-bearing p-orbitals orthogonal and close in space to each other are suitable for efficient ISC and C=C bond formation. In two of these (I and II), the isatin C(3) p orbital approaches the furan C(3) p orbital from outside of the furan ring. It is obvious that I is sterically more favorable because of the absence of the severe gauche hindrance between the furan C(3)-H bond and the isatin benzene ring in II. C–O bond rotation leading to ISC in I gives the major diastereomer syn-8, while ISC from the sterically less favored II furnishes the minor isomer 9. In the two other conformers with the isatin C(3) p orbital approaching the furan C(3) p orbital from the inner side of the furan ring, only III needs to be considered since the other conformation with the isatin phenyl pointing to the furan ring suffers from extreme steric congestion. ISC from III leads to the minor anti-9. The possible benefit achieved in conformation III through secondary orbital interaction between the isatin C(3) p-orbital and the allyl orbital must have been overridden by the unfavorable steric interaction between the furan ring and the isatin ring. A similar situation was found for the photocycloaddition of phenyl-glyoxylate with furan^{6c,7a} where the *exo-endo* ratio tends to increase as the carbonyl α -substituent becomes bulkier.



The *syn-trans-syn*-configuration in the 2 : 1 adduct **10** shows that the two sequential cycloadditions of ${}^{3}\mathbf{1}^{*}$ with furan all proceed with *syn*-selectivity, which is in accord with the above analysis on the formation of the 1 : 1 adducts. The *trans*-configuration around the furan ring minimizes the steric strain between the two isatins.

The preferential *syn*-selectivity in the cycloadditions with **3**, **4** and **5** can be rationalized likewise by considering the sterically more favorable ISC conformers similar to **I–III**. Benzannelation of the furan ring has no significant influence on the steric situation around the 1,4-diradical.

As an acyclic enol ether, *n*-butyl vinyl ether also displays an overwhelming syn-preference in cycloadditions with 1. Four ISC conformations are to be considered here. In V and VI, where the alkene C(2) p-orbital approaches the isatin C(3)p-orbital from outside of the isatin framework, the sterically more favorable V gives the major syn-adduct 17. In VII and **VIII** where the alkene C(1) p orbital approaches the isatin C(3)p orbital from the inner side, ISC from VII gives the antiisomer, while VIII gives the syn-adduct. However, the Paterno-Büchi 1,4-diradicals are known to be sufficiently long-lived $(\tau \text{ typically in the range of } \sim 1-7 \text{ ns}^{38,40})$ to allow C–O and C–C bond free rotation. These C(3)-O(2) and C(4)-O(R) bond rotations and the chain motion caused by C-C bond rotation in the butyl would prevent the 1,4-diradical from reaching the 'inward' conformations (VII and VIII) due to the large steric hindrance between the butyloxy group and the isatin benzene ring. As a result, conformers VII and VIII would be difficult to achieve, and V and VI are the main conformers responsible for ISC with the diastereomer ratio reflecting their relative contribution to the ISC.



This analysis also applies to the photocycloaddition of 1 with vinyl acetate, where in the two pairs of cycloadducts with different regioselectivity, the *syn–anti* ratio is 13 : 1 and 11 : 1 respectively, and the *syn–*diastereomers predominate.

Solvent polarity has a profound effect on the reactions. For alkenes 2–6 with low $E^{\alpha x}$, the ΔG_{ET} s in MeCN are significantly negative, indicating that SET is energetically feasible in this polar solvent. In these cases, triplet ion radical pairs are formed, which tend to dissociate further. In cage ion-radical pair combination leading to cycloadducts is therefore largely suppressed, resulting in severely retarded reaction rates. Also, side reactions induced by the alkene cation radicals which have escaped out of solvent cages such as polymerization, oxygenation, *etc.*, arise and give significant amounts of tarry materials.

3 Acid catalysed ring opening reactions of the spirooxetanes

Photocycloadditions of 1 with 2–7 provide a convenient and high yielding access to a novel class of spiro(3*H*-indole-3,2'oxetane)s with additional diverse structural features. Many of them are polycyclic and have a cyclic acetal structure. Although spirooxetanes have been found in a few natural products⁴¹ and have biological effects of practical interest such as antitumor activity,⁴² their main merit from a synthetic point of view is that they are apt to undergo further transformations under various conditions and can be used as a useful synthon in the synthesis of compounds with delicate structures.^{3,4,5} The acid catalysed transformations of the furan series spirooxetanes **8** and **11** furnish the 3-(furan-3-yl)indole and 3-(benzofuran-3-yl)indole derivatives respectively in high yield. The reaction mechanism is postulated in Scheme 1 with the reactions of **11** as an example.



It is known that many biologically active indole derivatives are C(3) substituted.⁴³ C(3) spiroindoles are of considerable recent interest due to their various biological activities.⁴⁴ Several 3-(heteroaryl)indoles such as 3-(oxazol-5-yl)indoles (**29**) and 3-(thiazol-2-yl)indoles (**30**) are naturally occurring alkaloids.⁴⁵ Our results show that acid catalysed ring opening of the spirooxetanes derived from photocycloadditions of isatin with enol ethers provides a general and versatile method for C(3) derivatization of indole derivatives, and also easily furnishes in high yields the 3-(furan-3-yl)indole derivatives which are otherwise difficult to access.⁴⁶



In summary, photocycloadditions of 1-acetylisatin with enol ethers 2–7 give spiroindole[3,2']oxetanes in high yield and with high regio- and diastereoselectivity. Regioselectivity in these cycloadditions is determined by the formation of the most stable 1,4-diradical intermediate. The application of the Salem– Rowland rule in the rationalization of the diastereoselectivity in the photocycloadditions of cyclic ketones with cyclic and acyclic enol ethers has been examined and the results show that the predominate *syn*-selectivity in these reactions can be rationalized by the conformational dependence of the ISC efficiency in the 1,4-diradical intermediate as required by the Salem– Rowland rule. Acid-catalysed oxetane ring opening reactions of the spiro[3*H*-indole[3,2']oxeto[2,3-*b*]furan(benzofuran)]s provide a straightforward and efficient access to the 3-(furan-3yl)indole and 3-(benzofuran-3-yl)indole derivatives. Therefore, the Paterno–Büchi reaction of isatin with enol ethers and subsequent chemical transformations of the spirooxetanes may serve as an efficient and versatile synthetic approach for indole derivatization at C(3).

Experimental

Melting points are uncorrected. ¹H NMR were recorded at 300 MHz on a Bruker spectrometer with CDCl₃ as solvent. *J* Values are given in Hz. ¹³C NMR were recorded with a Bruker Ac 500 MHz spectrometer at 125 MHz. IR spectra were taken with a Shimadzu IR 440 spectrometer in KBr pellets. Mass spectra were recorded with a VG ZAB-HS spectrometer. Elemental analyses were obtained using a Perkin-Elmer-240 C analyser. Benzene (AR grade) and tetrahydrofuran (AR grade) were dried with sodium and distilled before use. Acetonitrile was dried with P₂O₅ and distilled before use. Other reagents were CP or AR grade and were used as received without further purification.

General procedures for the preparative photolyses of 1acetylisatin with alkenes

The light source was a medium-pressure mercury lamp (500 W) in a cooling water jacket which was further surrounded by a layer of filter solution (10% aq. sodium nitrite, 1 cm thickness, $\lambda > 400$ nm). The solution of 1-acetylisatin (0.05 M) and an excess amount of alkene in benzene was placed in glass tubes and purged with dry nitrogen for 30 min. The solutions were then irradiated at room temperature under continuous nitrogen purging. At the end of the reaction (TLC monitoring), the solvent was removed in vacuo. ¹H NMR spectra of the crude reaction mixture were measured for the estimation of product ratio. The reaction mixture was then separated by flash chromatography on a silica gel column with petroleum ether (bp 60-90 °C)-ethyl acetate as eluent to afford the cycloadducts. The diastereomers were either separated by column chromatography or by fractional crystallization when the two isomers could not be separated by column chromatography.

General procedures for the acid catalysed ring cleavage of the oxetanes

The oxetanes were dissolved in the corresponding solvent (0.05 M). Hydrochloride gas was purged into the solution through a drying tube filled with CaCl₂. When the reaction was completed (TLC monitoring), the solution was washed twice with aqueous Na₂CO₃ and extracted with ether, the ether solution was then dried and concentrated *in vacuo*. The residue was separated by flash chromatography on a silica gel column with petroleum ether (bp 60–90 °C)–ethyl acetate as eluent.

Photolysis of 1 with furan 2

A solution of 1 (0.05 M) and 2 (0.5 M) in benzene was photolysed for 48 h to reach a complete conversion of 1. Work-up as before gave the products 8, 9 and 10.

syn-1-Acetyl-2'a,5'a-dihydrospiro[indole-3,2'-oxeto[2,3-b]-

furan]-2(1*H***)-one 8.** Colorless blocks from petroleum etheracetone, mp 155–157 °C; v_{max}/cm^{-1} 3000, 1760, 1710, 1600, 760; $\delta_{\rm H}$ (300 MHz, H–H COSY) 2.73 (3H, s, CH₃), 4.17 (1H, ddd, *J* 4.1, 2.9, 1.0, H^{2'a}), 5.12 (1H, t, *J* 2.9, H^{3'}), 6.77 (1H, d, *J* 4.1, H^{5'a}), 6.85 (1H, dd, *J* 2.9, 1.0, H^{4'}), 7.24 (1H, td, *J* 7.5, 1.0, ArH), 7.45 (1H, td, J 8.1, 1.0, ArH), 7.85 (1H, d, J 7.5, ArH), 8.23 (1H, d, J 8.1, H⁷); m/z (%) 257 (M⁺, 2), 146 (76), 43 (100) (Found: C, 65.39; H, 4.39; N, 5.48. C₁₄H₁₁NO₄ requires C, 65.37; H, 4.28; N, 5.45%).

anti-1-Acetyl-2'a,5'a-dihydrospiro[indole-3,2'-oxeto[2,3-b]-

furan]-2(1*H***)-one 9.** Colorless needles from petroleum etheracetone, mp 129–130 °C; v_{max}/cm^{-1} 3016, 2995, 1777, 1769, 1607, 759; $\delta_{\rm H}$ (300 MHz) 2.67 (s, 3H, CH₃), 4.12 (1H, dd, *J* 4.1, 1.0, H^{2'a}), 5.14 (1H, t, *J* 2.9, H^{3'}), 6.74 (1H, d, *J* 4.0, H^{5'a}), 6.84 (1H, dd, *J* 2.9, 1.0, H^{4'}), 7.33 (1H, t, *J* 7.5, ArH), 7.44 (1H, td, *J* 8.1, 1.0, ArH), 7.71 (1H, dd, *J* 7.5, 1.0, ArH), 8.24 (1H, d, *J* 8.1, H⁷); *m/z* (%) 257 (M⁺, 3), 43 (100) (Found: C, 65.51; H, 4.38; N, 5.47. C₁₄H₁₁NO₄ requires C, 65.37; H, 4.28; N, 5.45%).

syn-trans-syn-1,1"-Diacetyl-2,2"-dioxodispiro[indole-3,9'-[3,6,8]trioxatricyclo[5,2,0,0^{2,5}]nonane-4',3"-indole] 10. Colorless needles from MeCN, mp 157–159 °C; v_{max} /cm⁻¹ 3010, 1760, 1730, 1720, 1610, 1465, 1180, 980, 780; $\delta_{\rm H}$ (300 MHz) 2.73 (3H, s, CH₃), 2.74 (3H, s, CH₃), 3.99 (1H, d, J 3.6, H^{2'a}), 5.61 (1H, d, J 3.6, H^{2'b} or H^{4'a}), 5.91 (1H, d, J 3.6, H^{2'b} or H^{4'a}), 6.85 (1H, d, J 3.6, H^{5'a}), 7.27–7.53 (6H, m, ArH), 8.25 (1H, d, J 8.2, H⁷ or H^{7'}), 8.30 (1H, d, J 8.2, H⁷ or H^{7'}); *m*/*z* (%) 257 (M⁺, 2), 173 (10), 161 (50), 133 (15), 43 (100) (Found: C, 64.66; H, 4.11; N, 6.16. C₂₄H₁₈N₂O₇ requires C, 64.57; H, 4.04; N, 6.28%).

Photolysis of 1 with 3

A benzene solution of 1 (0.05 M) and 3 (0.1 M) was photolysed for 24 h (total conversion of 1). Work up as before gave 11 and 12.

syn-1-Acetyl-2'a,7'a-dihydrospiro[indole-3,2'-oxeto[2,3-b]-

benzofuran]-2(1*H***)-one 11.** Colorless prisms from petroleum ether–acetone, mp 177–178 °C; v_{max}/cm^{-1} 3000, 1760, 1710, 1600, 1460, 1172, 760; $\delta_{\rm H}$ (300 MHz) 2.76 (3H, s, CH₃), 4.67 (1H, d, *J* 3.9, H^{2'a}), 6.28 (1H, d, *J* 7.5, ArH), 6.81 (1H, d, *J* 7.5, ArH), 6.91–6.99 (3H, m, H^{7'a} and ArH), 7.15 (1H, d, *J* 8.1, ArH), 7.37–7.42 (2H, m, ArH), 8.21 (1H, d, *J* 8.1, H⁷); *m/z* (%) 307 (4, M⁺), 118 (100), 90 (27) (Found: C, 70.26; H, 5.34; N, 4.49. C₁₈H₁₃NO₄ requires C, 70.36; H, 5.54; N, 4.56%).

anti-1-Acetyl-2'a,7'a-dihydrospiro[indole-3,2'-oxeto[2,3-b]-

benzofuran]-2(1*H***)-one 12.** Colorless needles from petroleum ether–acetone, mp 198–200 °C; v_{max} /cm⁻¹ 3000, 1770, 1712, 1650, 1600, 960, 761, 752; $\delta_{\rm H}$ (300 MHz) 2.49 (3H, s, CH₃), 4.67 (1H, d, *J* 4.3, H^{2'a}), 6.91 (1H, d, *J* 4.3, H^{7'a}), 7.00–7.43 (5H, m, ArH), 7.49 (1H, td, *J* 7.8, 1.4, ArH), 7.79 (1H, dd, *J* 7.4, 1.4, ArH), 8.28 (1H, d, *J* 8.1, H⁷); *m*/*z* (%) 307 (M⁺, 12), 221 (56), 118 (100) (Found: C, 70.53; H, 5.64; N, 4.67. C₁₈H₁₃NO₄ requires C, 70.36; H, 5.54; N, 4.56%).

Photolysis of 1 with 4

A benzene solution of 1 (0.05 M) and 4 (0.1 M) was photolysed for 24 h (total conversion of 1). Work-up as before gave 13, 14 and 15.

syn-1-Acetyl-7'a-phenyl-2'a,7'a-dihydrospiro[indole-3,2'-

oxeto[2,3-b]benzofuran]-2(1*H***)-one 13.** Colorless prisms from petroleum ether–acetone, mp 203–204 °C; ν_{max}/cm^{-1} 1770, 1710, 1600, 1176, 750, 700; $\delta_{\rm H}$ (300 MHz) 2.72 (3H, s, CH₃), 4.82 (1H, s, H^{2'a}), 6.47 (1H, dd, *J* 7.6, 0.9, ArH), 6.81 (1H, d, *J* 7.4, ArH), 6.91–7.89 (10H, m, ArH), 8.22 (1H, d, *J* 8.1, H⁷); *m/z* (%) 383 (1, M⁺), 194 (100), 165 (58), 105 (47) (Found: C, 75.14; H, 4.55; N, 3.82. C₂₄H₁₇NO₄ requires C, 75.20; H, 4.44; N, 3.66%).

anti-1-Acetyl-7'a-phenyl-2'a,7'a-dihydrospiro[indole-3,2'oxeto[2,3-b]benzofuran]-2(1*H*)-one 14. Colorless needles (petroleum ether–acetone), mp 215–216 °C; v_{max}/cm^{-1} 3050, 1770, 1710, 1600, 968, 750, 700; $\delta_{\rm H}$ (300 MHz) 2.49 (3H, s, CH₃), 4.72 (1H, s, H^{2'a}), 7.03–7.77 (12H, m, ArH), 8.27 (1H, d, $J 8.1, H^7$); m/z (%) 383 (M⁺, 0.1), 194 (100), 165 (62), 105 (32) (Found: C, 75.23; H, 4.74; N, 3.78. C₂₄H₁₇NO₄ requires C, 75.20; H, 4.44; N, 3.66%).

syn-1-Acetyl-2'a-phenyl-2'a,7'b-dihydrospiro[indole-3,2'-

oxeto[3,2-*b***]benzofuran]-2(1***H***)-one 15. Colorless prisms (petroleum ether–acetone), mp 185–188 °C; \nu_{max}/cm⁻¹ 1740, 1710, 1600, 1280, 760, 700; \delta_{\rm H} (300 MHz) 2.77 (3H, s, CH₃), 7.02 (1H, s, H^{8'a}), 7.24–7.59 (8H, m, ArH), 7.68–7.77 (2H, m, ArH), 7.87 (2H, d,** *J* **7.3, ArH), 8.41 (1H, d,** *J* **8.1, H⁷);** *m/z* **(%) 383 (M⁺, 1.3), 194 (100), 165 (58), 146 (53) (Found: C, 75.32; H, 4.68; N, 3.59. C₂₄H₁₇NO₄ requires C, 75.20; H, 4.44; N, 3.66%).**

Photolysis of 1 with 5

A benzene solution of 1 (0.05 M) and 5 (0.1 M) was photolysed for 36 h (total conversion of 1). Work-up as before gave the product 16.

syn-1-Acetyl-9'*aH*-8'-methoxyspiro[indole-3,2'-oxeto[3',2': 4,5]furo[3,2-*g*][1]benzopyran]-2,6'-dione 16. Colorless needles, mp 240 °C (decomposed); ν_{max}/cm^{-1} 3050, 3000, 2950, 1780, 1720, 1700, 1620, 1582, 1021, 760; $\delta_{\rm H}$ (500 MHz) 2.76 (3H, s, -COCH₃), 4.21 (3H, s, -OCH₃), 4.70 (1H, d, *J* 3.7, H^{2'a}), 6.30 (1H, d, *J* 9.5, H^{4'}), 6.36 (1H, d, *J* 7.6, ArH), 6.62 (1H, s, H³), 6.97 (1H, t, *J* 7.6, ArH), 7.03 (1H, d, *J* 3.7, H^{9'a}), 7.39 (1H, t, *J* 7.8, ArH), 7.49 (1H, d, *J* 9.5, H^{5'}), 8.22 (1H, d, *J* 8.2, H⁷); $\delta_{\rm C}$ (125 MHz) 26.6, 53.5, 61.4, 86.4, 107.6, 114.2, 115.0, 116.7, 119.4, 121.1, 122.4, 125.2, 125.9, 132.2, 133.2, 140.5, 143.4, 148.4, 153.9, 160.0, 170.2, 175.7; *m*/*z* (%) 405 (M⁺, 1.5), 377 (42), 335 (100), 216 (66) (Found: C, 64.97; H, 3.76; N, 3.38. C₂₂H₁₅NO₇ requires C, 65.19; H, 3.70; N, 3.46%).

Photolysis of 1 with 6

A benzene solution of 1 (0.05 M) and 6 (0.5 M) was photolysed for 4 h (total conversion of 1). Work-up as before gave the product 17.

syn-1-Acetyl-3'-*n*-butyl-1,2-dihydrospiro[indole-3,2'-oxetane] 17. Colorless blocks (petroleum ether–acetone), mp 89 °C; ν_{max} / cm⁻¹ 2950, 1777, 1710, 1610, 1280, 760; $\delta_{\rm H}$ 0.74 (3H, t, *J* 7.2, CH₃ in n-Bu), 1.08–1.35 (4H, m, –OCH₂CH₂CH₂CH₂CH₃), 2.71 (3H, s, COCH₃), 2.76 (1H, dt, *J* 9.0, 6.4, 1/2 OCH₂–), 3.13 (1H, dt, *J* 9.0, 6.4, 1/2 OCH₂–), 4.69 (1H, dd, *J* 6.7, 1.7, 1/2H^{4'}), 4.76 (1H, dd, *J* 6.7, 1.7, 1/2H^{4'}), 5.10 (1H, t, *J* 6.7, H^{3'}), 7.32 (1H, d, *J* 7.5, ArH), 7.44 (1H, dd, *J* 7.8, 1.2, ArH), 7.81 (1H, dd, *J* 7.5, 1.2, ArH), 8.23 (1H, d, *J* 8.1, H⁷); *m/z* (%) 259 (14), 161 (100), 146 (15) (Found: C, 66.25; H, 6.58; N, 4.94. C₁₆H₁₉NO₄ requires C, 66.44; H, 6.57; N, 4.84%).

Photolysis of 1 with vinyl acetate 7

A solution of 1 (0.05 M) and 7 (0.5 M) in benzene was photolysed for 4 h for the total conversion of 1. Work-up as before gave the product 18 and three not fully separated products 19, 20 and 21.

syn-1-Acetyl-3'-acetyloxy-2-oxo-1,2-dihydrospiro[indole-

3.2'-oxetane] 18⁴⁷. Colorless needles (petroleum ether (bp 60–90 °C)–ethyl acetate), mp 113–115 °C; v_{max} /cm⁻¹ 2950, 1750, 1710, 1600, 1462, 1375, 1176, 762; $\delta_{\rm H}$ (300 MHz) 1.88 (3H, s, CH₃), 2.74 (3H, s, CH₃), 4.94 (1H, dd, J 5.6, 7.1, H^{3'}), 5.16 (1H, t, J 7.1, 1/2H^{4'}), 5.62 (1H, dd, J 5.6, 7.1, 1/2H^{4'}), 7.29 (1H, td, J 7.5, 0.9, ArH), 7.43–7.71 (2H, m, ArH), 8.25 (1H, d, J 8.1, H⁷); *m*/*z* (%) 275 (M⁺, 1.7), 148 (79), 132 (37), 43 (100) (Found: C, 61.02; H, 4.81; N, 5.22. C₁₄H₁₃NO₅ requires C, 61.09; H, 4.73; N, 5.09%).

anti-1-Acetyl-3'-acetyloxy-2-oxo-1,2-dihydrospiro[indole-3,2'-oxetane] 19. Not fully separated from other isomers; $\delta_{\rm H}$ (300 MHz) 2.05 (3H, s, CH₃), 2.67 (3H, s, CH₃), 4.91 (1H, t, J 11.5, H³), 5.03 (1H, t, J 11.5, 1/2H^{4'}), 5.45 (1H, t, J 11.5, 1/2H^{4'}), 7.25–8.21 (4H, m, ArH).

syn-1-Acetyl-4'-acetyloxy-2-oxo-1,2-dihydrospiro[indole-

3,2'-oxetane] 20. Not fully separated from other isomers; $\delta_{\rm H}$ (300 MHz) 2.21 (3H, s, CH₃), 2.66 (3H, s, CH₃), 2.89 (1H, dd, *J* 4.1, 12.6, H³), 3.39 (1H, dd, *J* 5.5, 12.6, 1/2H⁴), 6.76 (1H, dd, *J* 4.1, 5.5, 1/2H⁴), 7.23–7.65 (3H, m, ArH), 8.18 (1H, d, *J* 7.8, H⁷).

anti-1-Acetyl-4'-acetyloxy-2-oxo-1,2-dihydrospiro[indole-

3,2'-oxetane] 21. Not fully separated from other isomers; $\delta_{\rm H}$ (300 MHz) 2.27 (3H, s, CH₃), 2.64 (3H, s, CH₃), 2.73 (1H, m, H³), 3.17 (1H, m, H^{3'}), 6.88 (1H, m, H^{4'}), 7.25–8.21 (4H, m, ArH).

Acid treatment of the spirooxetanes 8 and 11

Acid treatment of 11 in MeOH gave 22 and 23, in PhH gave 24, 25 and 26, in THF gave 24, 25 and 27 respectively. Similar treatment of 8 in THF gave 28.

(±)-Acetyl-2-oxo-3-methoxy-3-(2-methoxy-2,3-

dihydrobenzofuran-3-yl)-1,2-dihydro-3*H***-indole 22.** Colorless prisms (petroleum ether–acetone), mp 110–111 °C; ν_{max} /cm⁻¹ 2950, 1742, 1650, 1600, 1480, 1240, 1190, 776, 760; $\delta_{\rm H}$ (300 MHz) 1.86 (3H, s, CH₃), 3.55 (3H, s, CH₃), 3.86 (3H, s, CH₃), 4.19 (1H, d, *J* 1.8, H³), 5.50 (1H, d, *J* 1.8, H²), 6.19 (1H, d, *J* 7.5, ArH), 6.63 (1H, td, *J* 7.5, 0.8, ArH), 6.82–7.39 (5H, m, ArH), 7.43 (1H, dd, *J* 7.5, 1.5, ArH); *m/z* (%) 353 (1), 204 (100), 144 (30), 118 (7) (Found: C, 67.79; H, 5.44; N, 3.98. C₂₀H₁₉NO₅ requires C, 67.99; H, 5.38; N, 3.97%).

(3R,2'S,3'R)-2-Oxo-3-hydroxy-3-(2-methoxy-2,3-dihydro-

benzofuran-3-yl)-1,2-dihydro-(3*H***)-indole 23.** Colorless blocks (petroleum ether–acetone), mp 180–182 °C; ν_{max}/cm^{-1} 3400, 3200, 1750, 1700, 1620, 1600, 1480, 750; $\delta_{\rm H}$ (300 MHz) 3.58 (3H, s, CH₃), 3.79 (1H, d, *J* 0.5, H³), 6.02 (1H, d, *J* 0.5, H²), 6.67–7.21 (7H, m, ArH), 7.41 (1H, d, *J* 7.5, ArH), 8.00 (1H, br); *m/z* (%) 265 (9), 149 (100), 118 (58) (Found: C, 68.83; H, 5.34; N, 4.43. C₁₇H₁₅NO₄ requires C, 68.69; H, 5.05; N, 4.71%).

(±)-1-Acetyl-2-oxo-3-hydroxy-3-(benzofuran-3-yl)-1,2-

dihydro-(3*H***)-indole 24⁴⁶.** Colorless prisms (petroleum etheracetone), mp 158–159 °C; ν_{max}/cm^{-1} 3500, 1778, 1690, 1610, 1280, 1160, 770, 750; $\delta_{\rm H}$ (300 MHz) 2.64 (3H, s, CH₃), 7.22–7.36 (3H, m, ArH), 7.44 (1H, s, H²), 7.49–7.55 (3H, m, ArH), 7.60 (1H, d, *J* 7.7, ArH), 8.35 (1H, d, *J* 8.2, ArH); *m/z* (%) 307 (M⁺, 28), 220 (55), 146 (100) (Found: C, 70.47; H, 4.37; N, 4.43. C₁₈H₁₃NO₄ requires C, 70.36; H, 4.23; N, 4.43%).

(3R,2'R,3'R)-1-Acetyl-2-oxo-3-hydroxy-3-(2-chloro-2,3-

dihydrobenzofuran-3-yl)-1,2-dihydro-(3*H***)-indole 25.** Colorless prisms (petroleum ether–acetone), mp 132–133 °C; ν_{max}/cm^{-1} 3500, 1772, 1700, 1600, 1460, 1276, 760; δ_{H} (300 MHz) 2.70 (3H, s, CH₃), 4.22 (1H, s, H³), 6.78 (1H, d, *J* 7.8, ArH), 6.91 (1H, t, *J* 7.5, ArH), 7.01 (1H, s, H²), 7.07 (1H, d, *J* 7.5, ArH), 7.13–7.21 (2H, m, ArH), 7.28 (1H, td, *J* 7.8, 1.4, ArH), 7.44 (1H, dd, *J* 7.5, 1.4, ArH), 8.01 (1H, d, *J* 8.0, ArH); *m/z* (%) 307 (22), 237 (18), 220 (39), 146 (100), 118 (45), 90 (23) (Found: C, 62.69; H, 4.19; N, 4.18. C₁₈H₁₄NO₄Cl requires C, 62.88; H, 4.08; N, 4.08%).

1-Acetyl-2-oxo-3-hydroxy-3-(2-chloro-2,3-dihydrobenzofuran-3-yl)-1,2-dihydro-(*3H***)-indole (configuration not determined) 26.** White powder (petroleum ether–acetone), mp 127–128 °C; v_{max}/cm^{-1} 3368, 1779, 1681, 1476, 761; $\delta_{\rm H}$ (300 MHz) 2.55 (3H, s, CH₃), 4.85 (1H, d, *J* 5.5, H³), 6.19 (1H, d, *J* 5.5, H²), 7.02 (1H, d, *J* 8.0, ArH), 7.22 (1H, t, *J* 7.5, ArH), 7.30–7.41 (2H, m,

ArH), 7.54 (1H, td, J 8.0, 1.2, ArH), 7.61 (1H, d, J 7.5, ArH), 8.05 (1H, d, J 7.5, ArH), 8.30 (1H, d, J 8.0, ArH); m/z (%) 307 (4), 220 (13), 146 (93), 118 (100), 90 (65) (Found: C, 62.77; H, 4.28; N, 3.92. C₁₈H₁₄NO₄Cl requires C, 62.88; H, 4.08; N, 4.08%).

(*3R*,2'*S*,3'*R*)-1-Acetyl-2-oxo-3-hydroxy-3-[2-(4-hydroxybutyloxy)-2,3-dihydrobenzofuran-3-yl]-1,2-dihydro-(*3H*)-indole **27.** Colorless blocks (petroleum ether–acetone), mp 150–151 °C; ν_{max}/cm^{-1} 3500, 2900, 1780, 1700, 1600, 1480, 760; $\delta_{\rm H}$ (300 MHz) 1.69–1.84 (4H, m, –OCH₂ CH₂ CH₂ CH₂–OH), 2.69 (3H, s, CH₃), 3.52 (2H, t, *J* 6.2, –OCH₂ CH₂ CH₂–OH), 3.65 (1H, dt, *J* 10.0, 6.0, 1/2-OCH₂ CH₂ CH₂ CH₂–OH), 3.82 (1H, s, H³), 3.90 (1H, dt, *J* 10.0, 6.0, 1/2-OCH₂ CH₂ CH₂ CH₂ CH₂–OH), 5.87 (1H, s, H²), 6.69 (1H, d, *J* 8.0, ArH), 6.79 (1H, t, *J* 7.5, ArH), 6.99 (1H, d, *J* 7.5, ArH), 7.09 (1H, t, *J* 8.1, ArH), 7.18 (1H, t, *J* 7.5, ArH), 7.29 (1H, t, *J* 8.1, ArH), 7.46 (1H, d, *J* 7.5, ArH), 8.05 (1H, d, *J* 8.1, ArH); *m/z* (%) 307 (1), 146 (15), 118 (27), 90 (100) (Found: C, 66.40; H, 6.01; N, 3.49. C₂₂H₂₃NO₆ requires C, 66.50; H, 5.79; N, 3.53%).

(±)-1-Acetyl-3-hydroxy-3-(furan-3-yl)-1,2-dihydro-(3H)-

indole 28. Colorless prisms (petroleum ether–acetone), mp 109–110 °C; ν_{max}/cm^{-1} 3455, 1777, 1692, 1604, 814, 769, 737; $\delta_{\rm H}$ 2.56 (3H, s, CH₃), 6.50 (1H, dd, *J* 1.6, 1.0, H⁴), 7.15 (1H, dd, *J* 1.6, 1.0, H⁵), 7.22 (1H, td, *J* 7.5, 1.0, ArH), 7.33–7.36 (2H, m, ArH and H³), 7.43 (1H, dd, *J* 7.5, 1.0, ArH), 8.17 (1H, d, *J* 8.2, ArH); *m/z* (%) 257 (10), 146 (81), 90 (28), 43 (100) (Found: C, 65.30; H, 4.51; N, 5.60. C₁₄H₁₁NO₄ requires C, 65.37; H, 4.28; N, 5.45%).

Crystal structure of compound 8§

C₁₄H₁₁NO₄, M = 257.24. monoclinic, space group C2/c, Z = 8, a = 19.2158(1), b = 9.2422(2), c = 15.6965(3) Å, $a = 90.00^{\circ}$, $\beta = 121.111(1)^{\circ}$, $\gamma = 90.00^{\circ}$, V = 2386.69(7) Å³, $D_c = 1.432$ g cm⁻³. Data were collected on a Siemens SMART CCD area detector diffractometer equipped with graphite-monochromatized Mo-K α in the range of $\theta = 2.48-28.30^{\circ}$. A total of 2893 independent reflections [R(int) = 0.1312] were used in the refinement which converged with R = 0.0789 and $\omega R = 0.1902$.

Crystal structure of compound 18§

C₁₄H₁₃NO₅, M = 275.25. Triclinic, space group *P*-1, Z = 2, *a* = 8.3660(17), *b* = 9.6653(19), *c* = 9.7304(19) Å, *a* = 68.82(3)°, $\beta = 68.35(3)°$, $\gamma = 68.44(3)°$, V = 656.5(2) Å³, $D_c = 1.392$ g cm⁻³. Data were collected on a AFC5R (RIGAKU) area detector diffractometer equipped with graphite-monochromatized Mo-Kα in the range of $\theta = 2.33-26.01°$. A total of 2099 independent reflections [*R*(int) = 0.0880] were used in the refinement which converged with *R* = 0.0742 and $\omega R = 0.1933$.

Acknowledgements

This work was supported by the National Natural Science Foundation of China (20072017).

§ CCDC reference number(s) 173803 and 175734. See http:// www.rsc.org/suppdata/p1/b1/b109697d/ for crystallographic files in .cif or other electronic format.

References

- (a) E. Paterno and G. Chieffi, *Gazz. Chim. Ital.*, 1909, **39**, 341; (b)
 G. Büchi, C. G. Inman and E. S. Lipinsky, *J. Am. Chem. Soc.*, 1954, **76**, 4327.
- 2 For reviews, see (a) D. R. Arnold, Adv. Photochem., 1968, 6, 301; (b) G. Jones, II, in Organic Photochemistry, ed. A. Padwa, Marcel Dekker, New York, 1981, vol. 5, pp. 1–122; (c) H. A. J. Carless, in Synthetic Organic Photochemistry, ed. W. M. Horspool, Plenum Press, New York, 1984, pp. 425–487; (d) S. L. Schreiber and J. A. Porco Jr., in Comprehensive Organic Synthesis, ed. B. M. Trost,

I. Fleming and L. A. Paquette, Pergamon Press, New York, 1991, vol. 5, pp. 151–192.

- 3 (a) Review on earlier work, S. L. Schreiber and J. A. Porco Jr., in Comprehensive Organic Synthesis, ed. B. M. Trost, I. Fleming and L. A. Paquette, Pergamon Press, New York, 1991, vol. 5, p. 168; (b) I. Ninomiya and T. Naito, Photochemical Synthesis, Academic Press, London, 1989, ch. 7, p. 135.
- 4 (a) S. L. Schreiber and K. Satake, J. Am. Chem. Soc., 1983, 105, 6723; (b) S. L. Schreiber and K. Satake, J. Am. Chem. Soc., 1984, 106, 4186; (c) S. L. Schreiber, Science, 1985, 227, 857.
- 5 (a) T. Bach, Synlett, 2000, 1699; (b) T. Bach, Synthesis, 1998, 683; (c) T. Bach, Liebigs Ann., 1997, 1627.
- 6 (a) A. G. Griesbeck and S. Stadtmüller, J. Am. Chem. Soc., 1990, 112, 1281; (b) A. G. Griesbeck and S. Stadtmüller, J. Am. Chem. Soc., 1991, 113, 6923; (c) A. G. Griesbeck and S. Stadtmüller, Chem. Ber., 1990, 123, 357; (d) A. G. Griesbeck, S. Buhr, M. Fiege, H. Schmickler and J. Lex, J. Org. Chem., 1998, 63, 3847; (e) A. G. Griesbeck, M. Fiege, S. Bondock and M. S. Gudipati, Org. Lett., 2000, 2, 3623.
- 7 (a) S. Hu and D. C. Neckers, J. Chem. Soc., Perkin Trans. 2, 1999, 1771; (b) R. Pelzer, H. D. Scharf, H. Buschmann and J. Runsink, Chem. Ber., 1989, 2, 1187; (c) H. Buschmann, H. D. Scharf, N. Hoffmann, M. W. Plath and J. Runsink, J. Am. Chem. Soc., 1989, 111, 5367.
- 8 M. Abe, E. Torii and M. Nojima, J. Org. Chem., 2000, 65, 3426.
- 9 M. D'Auria, R. Racioppi and G. Romaniello, Eur. J. Org. Chem., 2000, 3265.
- 10 (a) T. Bach, K. Jödicke, K. Kather and R. Fröhlich, J. Am. Chem. Soc., 1997, **119**, 2437; (b) T. Bach, K. Jödicke and B. Wibbeling, Tetrahedron, 1996, **52**, 10861; (c) T. Bach, Liebigs Ann., 1995, 855; (d) T. Bach and K. Jödicke, Chem. Ber., 1993, **126**, 2457; (e) T. Bach, Tetrahedron Lett., 1991, **32**, 7037; (f) Y. Araki, J. Nagasawa and Y. Ishido, Carbohydr. Res., 1981, **91**, 97.
- 11 (a) T. Bach, H. Brummerhop and K. Harms, *Chem. Eur. J.*, 2000, 6, 3838; (b) T. Bach, *Angew. Chem., Int. Ed. Engl.*, 1996, 35, 884; (c) T. Bach and H. Brummerhop, *Angew. Chem., Int. Ed. Engl.*, 1998, 37, 3400; (d) T. Bach and J. Schröder, *J. Org. Chem.*, 1999, 64, 1265–1273.
- 12 G. E. Gream, M. Mular and J. C. Paice, *Tetrahedron Lett.*, 1970, 3479.
- 13 (a) S. H. Schroeter and C. M. Orlando, J. Org. Chem., 1969, 34, 1181; (b) S. H. Schroeter, J. Chem. Soc. Chem. Commun., 1969, 12; (c) K. Shima and H. Sakurai, Bull. Chem. Soc. Jpn., 1969, 849; (d) N. J. Turro and P. A. Wriede, J. Am. Chem. Soc., 1968, 90, 6863; (e) N. J. Turro and P. A. Wriede, J. Am. Chem. Soc., 1970, 92, 320; (f) N. J. Turro, C. Lee, N. Schore, J. Barltrop and H. A. J. Carless, J. Am. Chem. Soc., 1971, 93, 3079; (g) H. S. Ryang, K. Shima and H. Sakurai, J. Org. Chem., 1973, 38, 2860; (h) J. Mattay, J. Gersdorf and V. Freudenberg, Tetrahedron Lett., 1984, 817; (i) H. A. J. Carless and G. K. Fekarurhobo, Tetrahedron Lett., 1985, 4407.
- 14 (a) Y. Araki, J. Nagasawa and Y. Ishido, J. Chem. Soc., Perkin Trans. 1, 1981, 12; (b) H. Ruotsalainen and T. Kärki, Acta. Chem. Scand. Ser. B, 1983, 37, 151; (c) S. Vasudevan, C. P. Brock, D. S. Watt and H. Morita, J. Org. Chem., 1994, 59, 4677.
- 15 See for example: P. R. Bieck, K. H. Antomin and R. Schulz, in *Monoamine Oxidase*, ed. H. Yasuhara, VSP, Utrecht, Netherlands, 1993, pp. 177–196.
- 16 For reviews, see (a) F. D. Popp, Adv. Heterocycl. Chem., 1975, 18, 1; (b) M. A. Shvekhgeimer, Khim. Geterotsikl. Soedin., 1996, 291.
- 17 (a) J. Xue, Y. Zhang, X. L. Wang, H. K. Fun and J. H. Xu, Org. Lett., 2000, 2, 2583; (b) J. Xue, Y. Zhang, T. Wu, H. K. Fun and J. H. Xu, J. Chem. Soc., Perkin Trans. 1, 2001, 183.
- 18 A. Usman, I. A. Razak, H. K. Fun, S. Chantrapromma, Y. Zhang and J. H. Xu, Acta Crystallogr. Sect. E. Struct. Rep. Online, 2001, 57, 852.
- 19 (a) M. H. Zhang and J. Y. An, Acta Chim. Sin., 1983, 41, 182; (b) J. Y. An, Y. X. Lei, M. H. Zhang and L. J. Jiang, J. Sci. Sin. B, 1983, 779; (c) T. Otsuki, Chem. Lett., 1987, 453; (d) S. C. Shim and Y. Z. Kim, J. Photochem., 1983, 23, 83.
- 20 J. H. Xu, Y. L. Song and Y. Shang, J. Chem. Soc., Chem. Commun., 1991, 1621.
- 21 N. E. Schore and N. J. Turro, J. Am. Chem. Soc., 1975, 97, 2482.
- 22 (a) J. Mattay, J. Gersdorf and K. Buchkremer, *Chem. Ber.*, 1987, **120**, 307; (b) J. Gersdorf, J. Mattay and H. Görner, *J. Am. Chem. Soc.*, 1987, **109**, 1203.

- 23 (a) R. A. Caldwell and S. P. James, J. Am. Chem. Soc., 1969, 91, 5184; (b) R. A. Caldwell, J. Am. Chem. Soc., 1970, 92, 1439; (c) R. A. Caldwell, G. W. Sovocool and R. P. Gajewski, J. Am. Chem. Soc., 1973, 95, 2549.
- 24 (a) R. O. Loufy, R. W. Yip and S. K. Dogra, *Tetrahedron Lett.*, 1977, 2843; (b) M. Niemczyk, N. E. Schore and N. J. Turro, *Mol. Photochem.*, 1973, **5**, 69; (c) B. M. Monroe, G. G. Lee and N. J. Turro, *Mol. Photochem.*, 1974, **6**, 271; (d) R. R. Hautala and N. J. Turro, *J. Am. Chem. Soc.*, 1971, **93**, 5595; (e) N. C. Yang, M. H. Hui, D. M. Shold, N. J. Turro, R. R. Hautala, K. Dawes and J. C. Dalton, *J. Am. Chem. Soc.*, 1977, **99**, 3023; (f) J. Saltiel, R. M. Coates and W. G. Dauben, *J. Am. Chem. Soc.*, 1976, **88**, 2745; (g) J. A. Barltrop and H. A. J. Carless, *J. Am. Chem. Soc.*, 1972, **94**, 8761.
- 25 N. J. Turro, J. C. Dauben, G. Dawes, G. Farrington, R. Hautala, D. Morton, S. Niemczyk and N. E. Schore, *Acc. Chem. Res.*, 1972, 5, 92.
- 26 E_{HOMO} of AN and MA is ~ -10.79 eV,²⁴ while E_{LUMO} of 1 is -9.253 eV.¹⁷
- 27 T. L. Gilchrist and R. C. Storr, Organic Reactions and Orbital Symmetry, Cambridge University Press, Cambridge, 2nd edn., 1979, p. 93.
- 28 H. Yilmas, E. Yurtsever and L. Toppare, J. Electroanal. Chem., 1989, 261, 105.
- 29 (a) A. Weller, Phys. Chem. (Wiesbaden), 1982, 133, 193; (b) Isr. J. Chem., 1970, 8, 259.
- 30 N. C. Yang, M. Nussim, M. J. Jorgenson and S. Murov, *Tetrahedron Lett.*, 1964, 3657.
- 31 I. Fleming, Frontier Molecular Orbitals and Organic Reactions, Wiley, Chichester, 1978.
- 32 (a) G. Tourillon and F. Garnier, J. Electroanal. Chem., 1982, 135, 173; (b) B. Nessakh, Z. Kotkowsk- Machnik and F. Tedjar, J. Electroanal. Chem., 1990, 296, 263.
- 33 R. J. Waltman, A. F. Diaz and J. Bargon, J. Phys. Chem., 1984, 88, 4343.
- 34 P. D. Wood and L. J. Johnston, J. Phys. Chem. A, 1998, 102, 5585.
- 35 J. Mattay, Tetrahedron, 1985, 41, 2993.
- 36 (a) F. G. Bordwell and X. M. Zhang, Acc. Chem. Res., 1993, 26, 510 and references cited therein; (b) G. Leroy, M. Sana and C. Wilante, J. Mol. Struct., 1989, 198, 159.
- 37 (a) L. Salem and C. Rowland, Angew. Chem., Int. Ed. Engl., 1972,
 11, 92; (b) L. Salem, Pure Appl. Chem., 1973, 33, 317; (c) Jr.
 C. Doubleday, N. J. Turro and J. F. Wang, Acc. Chem. Res., 1989, 22,
 199; (d) F. Kita, W. M. Nau, W. Adam and J. Wirz, J. Am. Chem. Soc., 1995, 117, 8670; (e) J. Michl, J. Am. Chem. Soc., 1996, 118, 3568.
- 38 A. G. Griesbeck, R. Mauder and S. Stadtmüller, Acc. Chem. Res., 1994, 27, 70.
- 39 A. G. Kutateladze, J. Am. Chem. Soc., 2001, 123, 9279.
- 40 (a) S. Hu and D. C. Neckers, J. Org. Chem., 1997, **62**, 755; (b) S. C. Freilich and K. S. Peters, J. Am. Chem. Soc., 1985, **107**, 3819.
- 41 (a) R. W. Miller, R. G. Powell and C. R. Smith Jr., J. Org. Chem., 1981, 46, 1469; (b) J. Cason, C. W. Koch and J. S. Correia, J. Org. Chem., 1970, 35, 179.
- 42 See, for example (a) M. Humberg, J. Svensson and B. Samuelson, Proc. Natl. Acad. Sci. U. S. A., 1975, 72, 2994; (b) G. Prakash and D. E. Falvey, J. Am. Chem. Soc., 1995, 117, 11375; (c) D. Bryce-Smith, E. H. Evans, A. Gilbert and H. S. McNeil, J. Chem. Soc., Perkin Trans. 1, 1992, 485; (d) D. Bryce-Smith, E. H. Evans, A. Gilbert and H. S. McNeil, J. Chem. Soc., Perkin Trans. 2, 1991, 1587.
- 43 R. J. Sundberg, in *Comprehensive Heterocyclic Chemistry*, ed. A. R. Katritzki, C. W. Rees, Pergamon Press, New York, 1984, ch. 3.06, pp. 313–376.
- 44 K. C. Joshi, R. Jain and P. Chand, Heterocycles, 1985, 29, 957.
- 45 See, for example, S. Hibino and T. Choshi, *Nat. Prod. Rep.*, 2001, **18**, 66.
- 46 (a) M. Amat, S. Hadida and J. Bosch, *Tetrahedron Lett.*, 1994, 35, 793; (b) M. Amat, S. Hadida, G. Pshenichnyi and J. Bosch, *J. Org. Chem.*, 1997, 62, 3158.
- 47 A. Usman, I. A. Razak, H. K. Fun, S. Chantrapromma, Y. Zhang and J. H. Xu, Acta Crystallogr Sect. E. Struct. Rep. Online, 2001, 57, 1070.